

AGENDA ITEM 8

JOINT INDEPENDENT AUDIT COMMITTEE

NOVEMBER 2024

RISK REGISTER AND BUSINESS CONTINUITY OVERVIEW

1. PURPOSE OF REPORT

1.1 This paper provides the Joint Independent Audit Committee (JIAC) with a summary of activity pertaining to the “Corporate Risk Management” aspect of its terms of reference. JIAC are presented with an overview of developments on the Principal Risk Register (section 2) and an overview of business continuity management activity (section 3). These matters are routinely discussed in detail by management at the Risk & Assurance Board (RAB).

2. RISK REGISTER DEVELOPMENTS

Risk Management Update

2.1 This section of the report covers the period from 23 July 2024 until 23 September 2024. During the reporting period, reports on risk register activity were submitted to the Executive Board following the Risk and Assurance in July and September. The next Risk & Assurance Board is scheduled for 25 November 2024.

Principal Risk Register

2.2 The diagram in appendix A sets out the position on the Principal Register at 23 September 2024.

2.3 A summary of the latest remarks for reviews undertaken in the reporting period is included in the table below. No risks have been escalated to the Principal Register during the reporting period, however 1 risks have been closed on the Principal Register (8584).

2.4

8004: Partial or Total Loss of IT Service Provision which impacts on service to the public

Last Review Remarks:
No change to scoring or status at this review.

8628: Prevailing difficulties in the retention and recruitment of Detective roles impact on services to the public

Last Review Remarks:
No change to scoring or status at this review.

8586: Failure to effect smooth transition of enableNY to the potential Mayoral governance model.

Last Review Remarks:

No change to scoring or status at this review.

8584: Long term vacancies and high turnover impacts negatively on the ability to deliver effective and consistent services.

Last Review remarks:

September 24. Risk closure report provided and agreed to be closed at the September RAB.

7581: Failure to ensure effective financial control and financial planning processes.

Last Review Remarks:

No change to scoring or status at this review.

3. BUSINESS CONTINUITY MANAGEMENT

3.1 Throughout the reporting period Business Continuity (BC) activities have continued in line with the annual BC programme. The programme is adjusted as necessary to reflect organisational changes in order to ensure that NYP’s identified critical functions are maintained during periods of change and/or disruption.

3.2 A summary of reported BC incidents is included in the table below.

	2023/24 Q2 (Jul – Sept)	2023/24 Q3 (Oct – Dec)	2023/24 Q4 (Jan – Mar)	2024/25 Q1 (Apr-Jun)	2024/25 Q2 (Jul-Sept)
Number of BC Incidents	12	10	5	7	5
Number of Force wide/ Priority 1 incidents	0	0	0	0	0

3.3 Members are invited to consider if there are any topics or themes to be explored from a BC exercising perspective during the financial year.

4. RECOMMENDATIONS

4.1 The Committee are invited to consider the report and:

- Express any views on the Principal Risk Register content to feed into Risk & Assurance Board and Executive Board
- Express any views on the Business Continuity commentary contained in the report and / or any themes to explore by way of BC exercising
- Indicate the level of assurance that can be drawn from the content.

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Risk Manager (Business Insight, BDA)
Date: November 2024

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